

## CIVIL COVER SHEET

JS-44 (Rev. 6/17 DC)

## I. (a) PLAINTIFFS

Mark Nordlicht

## DEFENDANTS

U.S. Securities and Exchange Commission

(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF  
(EXCEPT IN U.S. PLAINTIFF CASES)(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)  
Mark Nordlicht, Pro Se  
245 Trenor Drive  
New Rochelle, NY 10804COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT Washington DC

Case: 1:19-cv-02849

Assigned To : McFadden, Trevor N.

Assign. Date : 9/23/2019

Description: FOIA/Privacy Act (I - DECK)

II. BASIS OF JURISDICTION  
(PLACE AN X IN ONE BOX ONLY)

- 1 U.S. Government Plaintiff       3 Federal Question (U.S. Government Not a Party)
- 2 U.S. Government Defendant       4 Diversity (Indicate Citizenship of Parties in item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (PLACE AN X IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT) FOR DIVERSITY CASES ONLY!

	PTF	DFT	PTF	DFT	
Citizen of this State	<input type="radio"/> 1	<input type="radio"/> 1	Incorporated or Principal Place of Business in This State	<input type="radio"/> 4	<input type="radio"/> 4
Citizen of Another State	<input type="radio"/> 2	<input type="radio"/> 2	Incorporated and Principal Place of Business in Another State	<input type="radio"/> 5	<input type="radio"/> 5
Citizen or Subject of a Foreign Country	<input type="radio"/> 3	<input type="radio"/> 3	Foreign Nation	<input type="radio"/> 6	<input type="radio"/> 6

## IV. CASE ASSIGNMENT AND NATURE OF SUIT

(Place an X in one category, A-N, that best represents your Cause of Action and one in a corresponding Nature of Suit)

<input type="radio"/> A. Antitrust	<input type="radio"/> B. Personal Injury/ Malpractice	<input type="radio"/> C. Administrative Agency Review	<input type="radio"/> D. Temporary Restraining Order/Preliminary Injunction
<input type="checkbox"/> 410 Antitrust	<input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Medical Malpractice <input type="checkbox"/> 365 Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Product Liability	<input type="checkbox"/> 151 Medicare Act  <b>Social Security</b> <input type="checkbox"/> 861 HIA (1395f) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g))  <b>Other Statutes</b> <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 890 Other Statutory Actions (If Administrative Agency is Involved)	Any nature of suit from any category may be selected for this category of case assignment.  *(If Antitrust, then A governs)*

 E. General Civil (Other)

**Real Property**  
 210 Land Condemnation  
 220 Foreclosure  
 230 Rent, Lease & Ejectment  
 240 Torts to Land  
 245 Tort Product Liability  
 290 All Other Real Property

**Personal Property**  
 370 Other Fraud  
 371 Truth in Lending  
 380 Other Personal Property Damage  
 385 Property Damage Product Liability

OR

 F. Pro Se General Civil

**Bankruptcy**  
 422 Appeal 27 USC 158  
 423 Withdrawal 28 USC 157

**Prisoner Petitions**  
 535 Death Penalty  
 540 Mandamus & Other  
 550 Civil Rights  
 555 Prison Conditions  
 560 Civil Detainee - Conditions of Confinement

**Property Rights**  
 820 Copyrights  
 830 Patent  
 835 Patent - Abbreviated New Drug Application  
 840 Trademark

**Federal Tax Suits**  
 870 Taxes (US plaintiff or defendant)  
 871 IRS-Third Party 26 USC 7609

**Forfeiture/Penalty**  
 625 Drug Related Seizure of Property 21 USC 881  
 690 Other

**Other Statutes**  
 375 False Claims Act  
 376 Qui Tam (31 USC 3729(a))  
 400 State Reapportionment  
 430 Banks & Banking  
 450 Commerce/ICC Rates/etc.  
 460 Deportation

462 Naturalization Application  
 465 Other Immigration Actions  
 470 Racketeer Influenced & Corrupt Organization  
 480 Consumer Credit  
 490 Cable/Satellite TV  
 850 Securities/Commodities/ Exchange  
 896 Arbitration  
 899 Administrative Procedure Act/Review or Appeal of Agency Decision  
 950 Constitutionality of State Statutes  
 890 Other Statutory Actions (If not administrative agency review or Privacy Act)

<input type="radio"/> G. <i>Habeas Corpus/2255</i>	<input type="radio"/> H. <i>Employment Discrimination</i>	<input type="radio"/> I. <i>FOIA/Privacy Act</i>	<input type="radio"/> J. <i>Student Loan</i>
<input type="checkbox"/> 530 Habeas Corpus – General <input type="checkbox"/> 510 Motion/Vacate Sentence <input type="checkbox"/> 463 Habeas Corpus – Alien Detainee	<input type="checkbox"/> 442 Civil Rights – Employment (criteria: race, gender/sex, national origin, discrimination, disability, age, religion, retaliation)	<input checked="" type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 890 Other Statutory Actions (if Privacy Act)	<input type="checkbox"/> 152 Recovery of Defaulted Student Loan (excluding veterans)
*(If pro se, select this deck)*		*(If pro se, select this deck)*	
<input type="radio"/> K. <i>Labor/ERISA (non-employment)</i>	<input type="radio"/> L. <i>Other Civil Rights (non-employment)</i>	<input type="radio"/> M. <i>Contract</i>	<input type="radio"/> N. <i>Three-Judge Court</i>
<input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 740 Labor Railway Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<input type="checkbox"/> 441 Voting (if not Voting Rights Act) <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 445 Americans w/Disabilities – Employment <input type="checkbox"/> 446 Americans w/Disabilities – Other <input type="checkbox"/> 448 Education	<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholder's Suits <input type="checkbox"/> 190 Other Contracts <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<input type="checkbox"/> 441 Civil Rights – Voting (if Voting Rights Act)
<b>V. ORIGIN</b> <input checked="" type="radio"/> 1 Original Proceeding <input type="radio"/> 2 Removed from State Court <input type="radio"/> 3 Remanded from Appellate Court <input type="radio"/> 4 Reinstated or Reopened <input type="radio"/> 5 Transferred from another district (specify) <input type="radio"/> 6 Multi-district Litigation <input type="radio"/> 7 Appeal to District Judge from Mag. Judge <input type="radio"/> 8 Multi-district Litigation – Direct File			
<b>VI. CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE.)</b> 5 USC Section 552 to order production of records			
<b>VII. REQUESTED IN COMPLAINT</b>	CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23	<b>DEMANDS JURY DEMAND:</b>	Check YES only if demanded in complaint YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
<b>VIII. RELATED CASE(S) IF ANY</b>	(See instruction)	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	If yes, please complete related case form
DATE: 09/12/18	SIGNATURE OF ATTORNEY OF RECORD <u>Mark Nordlicht</u>		

**INSTRUCTIONS FOR COMPLETING CIVIL COVER SHEET JS-44**  
*Authority for Civil Cover Sheet*

The JS-44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and services of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. Listed below are tips for completing the civil cover sheet. These tips coincide with the Roman Numerals on the cover sheet.

- I. COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF/DEFENDANT (b) County of residence: Use 11001 to indicate plaintiff is resident of Washington, DC, 88888 if plaintiff is resident of United States but not Washington, DC, and 99999 if plaintiff is outside the United States.
- III. CITIZENSHIP OF PRINCIPAL PARTIES: This section is completed only if diversity of citizenship was selected as the Basis of Jurisdiction under Section II.
- IV. CASE ASSIGNMENT AND NATURE OF SUIT: The assignment of a judge to your case will depend on the category you select that best represents the primary cause of action found in your complaint. You may select only one category. You must also select one corresponding nature of suit found under the category of the case.
- VI. CAUSE OF ACTION: Cite the U.S. Civil Statute under which you are filing and write a brief statement of the primary cause.
- VIII. RELATED CASE(S), IF ANY: If you indicated that there is a related case, you must complete a related case form, which may be obtained from the Clerk's Office.

Because of the need for accurate and complete information, you should ensure the accuracy of the information provided prior to signing the form.